

General Information

Document type:	Final Audit Report	
Document language:	English	
Second document language:	Tamil	
Certification Type:	Multi-site without IMS	
	Multi-Site option 1 - Without Internal Management System (IMS)	
Audit type:	Initial Audit	
Name of the Conformity Assessment Body (CAB):	Acoura Marine t/a LRQA	
If "other" was selected, please fill in the CAB name in this field.	Acoura Marine t/a LRQA	
ASC Standard:	Shrimp	
Standard version:	v1.2	
CAR version:	CARvFarm CAR v2.2	
Recirculating Aquaculture System (RAS) Module:	No	
ASC Certificate Number:	ASC02047	
Company (Certificate Holder) name:	Edhayam Frozen Foods Pvt Ltd	
Unit of Certification (Uoc) name:	Rayaan Aqua Farm Site 1 & Rayaan Aqua Farm Site 2	
Country where Uoc is located:	India	
Client Contact Details:		
First name:	PRABHA	
Surname:	JONES	
Position in the Uoc (Job title):	MANAGING DIRECTOR	
Activities Covered under the Scope of Certification:		
Grow Out:	Covered	
Transportation:	Covered	
Storage (if present at farm (Uoc):	Not Covered	
Processing (if present at Uoc):	Not Covered	
Packing (if present at Uoc):	Not Covered	
Harvest:	Covered	
Description of Uoc: <i>Please provide the description of Uoc The structure of the Uoc /Uoc Ownership and contract relationships. (Sites, contract farming, subcontractors, ownership of product, etc.) Receiving Water Body/ local geography/ hydrology. Social Characteristics</i>	Farms are situated in Meenakshi Nagar, Pattinamkattan, Ramanathapuram Dist. Tamil Nadu, India. Farms are contracted and agreement was verified between the Edhayam Frozen Foods Pvt. Ltd. And Rayaan Aqua Farm Site 1 and Site 2 respectively. Site 1 consists of A and B sections total 11 grow out ponds and 2 reservoir. Total of 13 ponds and having area of 14.17 Ha. Source of water is Sea water initially and during culture period it is deep saline bore water. Site 2 - Total of 6 grow out ponds and 1 reservoir. Total area is 3.98 Ha. Source of water is Sea water initially and during culture period it is deep saline bore water.	
Employees	Male	Female
Total number of full-time employees in Uoc at the time of audit	24	
Total number of part-time employees in Uoc at the time of audit		
Total number of seasonal temporary employees in Uoc (in the year preceding the audit)		
Are any sites within the Uoc permitted to produce both certified and non-certified product?	No	
Permitted Reason Specific and identifiable batch(es) or production unit(s) do not comply with any ASC Farm Standard indicators	Other	

Document Name: ASC Audit Report
related to:
version: 2.2

Issue Date: 31 May 2022

Effective Date: 30 Sep 2022

ASC Audit Report



Description:	N/A
What is excluded from the Certificate Scope?	No exclusion In the scope all UoC ae in scope.
Is ASC CoC certification held by the Uoc?	No
If yes, enter the CoC code:	N/A

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Audit Information

Audit ID:	A0006590
Audit conducted: on-site, assisted remote or remote?	On-site
Start date site audit:	01-Feb-23
End date site audit :	04-Feb-23

Time assigned to audit activities	Social Auditor(s)	Environmental auditor(s)	IMS auditor (if applicable)
Off-site activities:			
On-site activities:	64.00	64.00	
Total auditor-hours:	64.00	64.00	

Are the social principles covered under the scope of this Audit? (If no, please justify)	Yes
Justification:	n/a
Is harvesting covered under the scope of this Audit? (If no, please justify)	Yes
Justification:	N/A

Last date of harvest witnessed:	26-Feb-23
Partial or full harvest ?	Full Harvest

Audit Team & Additional Attendees

Surname	First Name	Role	Auditor On-site or Remote?
Nandi	Alok	Social auditor	On-site
Balaji	Venkatesan	Interpreter	On-site
Shankarling	Chinthamani	Environmental auditor	On-site
Shankarling	Chinthamani	Lead auditor	On-site
Arslan	Zahid Enes	Technical Reviewer	Remote

Audit - Technical Review & Certification

Technical Reviewer's Name:	Zahid Enes Arslan
Certifier:	Paul Macintyre
Certification Decision:	Certified
Certification Decision Date:	25-Sep-23

Rationale for Decision:	The Edhayam Frozen Foods Pvt Ltd, Rayaana Aqua Farm Site 1 & Rayaana Aqua Farm Site 2, ASC Shrimp v1.2 audit report was reviewed and the answer was taken from the auditor. The report content was satisfactory and the evidence recorded was consistent with each other. The cover page and audit page were not fully completed but the information provided by the auditor and these pages are completed. Totally twelve minor NC and four major NC have been raised. I have also reviewed all RCA and CA submitted by the company and concur with the audit team that these are sufficient to close the non-conformities. There were some inconsistencies between site page and the audit report page but it is fixed by the tech reviewer. GIS polygon data has been confirmed. Some not completed box on the traceability page has been finalized by the auditor. After careful review and evaluation of the Edhayam Frozen Foods Pvt Ltd, Rayaana Aqua Farm Site 1 & Rayaana Aqua Farm Site 2 audit report, I'm pleased to announce that the farm has successfully met all the requirements for the ASC Shrimp v1.2 Standard v1.2 certification.
Certificate Valid From:	25-Sep-23
Certificate Valid Until:	24-Sep-26

Site information
List ALL sites included/to be included in the UoC

Yes

[illegible]

Audit Report: Multi-site or Group

Certificate Holder	Edhayam Frozen Foods Pvt Ltd	Standard	Shrimp
UoC Name	Rayaan Aqua Farm Site 1 & Rayaan Aqua Farm Site 2	Country	India
CAR version	CARv farm CAR v2.2	CAB	Acoura Marine t/a URQA
Certification Type	Multi-Site option 1 - Without Internal Management System (IMS)	Audit Type	Initial Audit
Indicator	Indicator/ Requirement Text	Evaluation	Audit Findings & Evidence
1.1	The multi-site client shall be a legal entity.	Compliant	Both sites has all legal docs.
1.2	The multi-site client shall have a legally binding link(i.e. direct ownership, or contract) with all sites within the UoC.	Compliant	Yes both farms are legally bound and evidence verified
1.3	a) Operate within the same jurisdiction or within neighbouring jurisdictions that share relevant common regulations; b) Have the same or similar production system; c) Be subject to the same species standard; d) Comply with the relevant ASC Farm Standard Requirements.	Compliant	Same species are used in both Sites. Have similar production systems and share common production systems and comply relevant ASC Standard requirements.
1.4	Subcontracted farms may be included in the unit of certification if all the following apply: If the ASC farm standard being audited to contains indicator(s) for contract farming, the below requirements (1.4.1 - 1.4.6) shall not apply.	Compliant	Farm is sub contracted and agreement was evident.
1.4.1	All of the operations of the farm are subject to the same procedures as the rest of the unit of certification.	Compliant	All operations are same.
1.4.2	The product produced by the subcontractor is owned by the certificate holder.	Compliant	Product produced by the subcontractor is owned by certificate holder - Edhayam Frozen Foods Pvt. Ltd.
1.4.3	The central office has the same oversight and right to control over the operations of subcontractors as it has for the client's own operations.	Compliant	Central office is 3 hrs drive from the farm site.
1.4.4	All of the operations of the subcontracted farms shall be included in the multi-site certificate.	Compliant	All UoC is certified.
1.4.5	The contract shall be transparent, mutually accepted by both parties and include the above provisions (1.4.1-1.4.4).	Compliant	Contract agreement was evident and verified.
1.4.6	Contract farming arrangements with subcontracted farms should follow the FAO "Guiding principles for responsible contract farming operations".	Compliant	Agreement was evident.
1.5	The multi-site client shall have a central office that is responsible for the management of and conformity to ASC requirements for the UoC.	Compliant	The facility has the central office in Tuticorin, Tamil Nadu, India.
	The multi-site client shall conform to the following documented procedures:	Compliant	Yes available
1.6.1	Procedure for managing complaints submitted to management by stakeholders and staff members as specified in the applicable ASC Farm Standard.	Compliant	Available in BEIA report 54 and also in farm Manual SOP/36 dt on 2/08/2022.
1.6.2	Procedures for identifying and segregating all products within each site, among sites within the unit of certification, and products that are not included in the unit of certification.	Compliant	Yes, available
	These procedures shall describe:		
1.6.2.1	How certified products are identified and segregated to prevent mixing with non-certified before the start of the MSC/ASC certified chain of custody.	Compliant	All are non certified product. Going for the initial certification.
1.6.2.2	The conditions under which products must be segregated, and measure to prevent mixing directly or indirectly.	Compliant	SOP was evident and verified.
1.6.3	The procedures and associated records shall allow products to be traced back from the start of the MSC/ASC certified chain of custody back to the production unit (i.e. cage/net/pen/pond/tank/ raceway).	Compliant	SOP for traceability is SOP/35 page 115 was evident and verified.
1.6.4	The multi-site client shall implement effective documented procedures to trace inputs (e.g. feed) used for each site as specified in the applicable ASC Farm standard.	Compliant	SOP 35 page no 115 was evident and verified.

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50005136	Rayan Aqua Farm Site 2	4.9.2	Indicator: All workers have the appropriate and applicable permits for working in the country. Requirement: Employer has a list of permit reference numbers or copies of permits for all concerned workers.	Compliant	All workers are Indian national, as permitted to work in the farm by national origin அனைத்து தொழிலாளர்களும் இந்திய நாட்டிலுள்ள, எனவே ஒளிபுல வசனாவசியின் பண்பணங்கள் வேலை செய்ய அனுமதிக்கப்படுகிறார்கள்.
50005135	Rayan Aqua Farm Site 1	4.9.3	Indicator: Workers are fully aware of their employment conditions and confirmed their agreement (verbal or written). Written employment policies and procedures are required when there are more than five hired workers. Requirement: Evidence of contract agreement for all workers. Written contracts: a complete contract is filed in the office, mutually signed and copies are available to the worker. Verbal agreements: employer and worker the consistent employment conditions in independent interviews.	Compliant	All the written contracts are mutually being signed by the employees. Verified the copies of contract and also verified the same through worker interviews. No verbal contract found available. Organisation found defined their employment/hiring policy in their ethical policy manual 56 No. 7 page 20-21 dated 02-08-22 எழுதப்பட்ட ஒப்பந்தங்கள் அனைத்துத் தொழிலாளர்கள் பரஸ்பரம் கையெழுத்திட்டுப்படுகின்றன. ஒப்பந்தத்தின் நகல்களை சரிபார்த்து, தொழிலாளர் நேர்காணல் மூலமாகவும் சரிபார்க்கப்பட்டது. வாய்ப்பளித்த ஒப்பந்தங்கள் இவை கிடைக்கவில்லை. 02-08-22 தேதியிட்ட, ஆய்வுகளின் நேரத்திற்குக் கோள்கள் கைவிட்டு 7 பக்கம் 20-21 பக்கம் 20-21 இல் அவர்களின் வேலையாட்பப் / பணிபயர்த்துக் கொள்ளக்கூடிய நிறுவனம் வரையறுக்கிறது.
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50005135	Rayan Aqua Farm Site 1	4.9.4	Indicator: Probation period stipulated in contract. Requirement: The probation period shall follow prevalent law in the country, but not be more than 30 days in cases laws do not exist or are not applicable.	Compliant	Agreement letter(Contract Document) issued in local language(Tamil)/Oilya for migrant workers 36. English. Probation period of one month is mentioned in the contract. பணித் திறமையைக் கவனம் செலுத்த வேண்டும். உரிமையுடைய முயற்சியின் மூலம்/முற்பாசியில் புலம்பெயர்ந்த தொழிலாளர்களுக்கு 1 ஆகஸ்டில், மாத தகுதியாகக் கனம் ஒப்பந்தத்தினை ஒதுக்கப்பட்டுள்ளது.
50005136	Rayan Aqua Farm Site 2	4.9.4	Indicator: Probation period stipulated in contract. Requirement: The probation period shall follow prevalent law in the country, but not be more than 30 days in cases laws do not exist or are not applicable.	Compliant	Farm uses subcontractors only during harvesting operation. An agreement was available with the subcontracting party dated 01-06-2022. The agreement covers the hygiene and safety requirement to be complied during harvesting. அதுவகம், செயல்பாட்டில் பொது வழிமுறை பண்பணத்தை ஒப்பந்ததாரர்களைப் பயன்படுத்துகிறது. 01-06-2022 தேதியிட்ட, துணை ஒப்பந்தக் கூ.சமீபக் ஒது ஒப்பந்தம் கிடைத்தது. அதுவகையில் பொது கடைபிடிக்க வேண்டிய கவனத்தில் வறியும் பந்துகளைப் படுத்தவேண்டிய ஒப்பந்தம் உரிமையாகிறது.
50005135	Rayan Aqua Farm Site 1	4.9.5	Indicator: In subcontracting (S3) or home working arrangements, the farm owner shall ensure that labour laws, social security laws and ratified ILO provisions have been duly respected and complied with. Requirement: Confirmation that sub-contractors and intermediaries have contracts with their workers that are in accordance with laws and regulations.	Compliant	Farm uses subcontractors only during harvesting operation. An agreement was available with the subcontracting party dated 01-06-2022. The agreement covers the hygiene and safety requirement to be complied during harvesting. அதுவகம், செயல்பாட்டில் பொது வழிமுறை பண்பணத்தை ஒப்பந்ததாரர்களைப் பயன்படுத்துகிறது. 01-06-2022 தேதியிட்ட, துணை ஒப்பந்தக் கூ.சமீபக் ஒது ஒப்பந்தம் கிடைத்தது. அதுவகையில் பொது கடைபிடிக்க வேண்டிய கவனத்தில் வறியும் பந்துகளைப் படுத்தவேண்டிய ஒப்பந்தம் உரிமையாகிறது.
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50005135	Rayan Aqua Farm Site 1	4.10.1	Indicator: The employer ensures that all workers have access to appropriate channels of communication with managers on matters relating to labour rights and working conditions. Requirement: Management and the full workforce meet at least twice per year on the basis of written agenda and written minutes of the meetings are available.	Major	Workers are aware about their right to complaint and Company policy on Grievance handling (S3 No. 14 page 41-42 of dated 02-08-2022) gives detail procedure in case of any complaint/grievance. Verified the same through worker interviews. Organisation found defined the complaint procedure whereas no complaint found received so far. Records of the meeting for complaint handling found maintained. Last meeting between worker groups and farm management was held on 07-08-2022, attended by all 5 workers. Minutes of meeting available in English & local language(Tamil). தொழிலாளர்கள் ஓய்வுப் பகுதியிலுள்ள உரிமையாளர் குழுக்களுக்கும் பண்பணம் திறமையாகிற்று இடையிலான கடைபிடி 07-08-2022 அன்று நடைபெற்றது. இது 5 தொழிலாளர்களும் கலந்து கொண்டனர். சந்திப்பின் திறமி, கடைபிடிக்கப்படும் பண்பணம் மற்றும் உரிமையாளர் குழுவின் (குழு) கிடைக்க. இப்படிப்பட்ட, இன்னர் கலந்து அப்போதில் ஒது வலப்படுத்தப்படும், ஒது கவனமாகிறது பணிபயர்த்து வருகிறதன். பண்பண அவர்களின் வருகை மற்றும் அறிவும் பரிசுடாக பராமரிக்கப்படும். உயர்ந்தப்பட்ட வன் சி
50005136	Rayan Aqua Farm Site 2	4.10.1	Indicator: The employer ensures that all workers have access to appropriate channels of communication with managers on matters relating to labour rights and working conditions. Requirement: Management and the full workforce meet at least twice per year on the basis of written agenda and written minutes of the meetings are available.	Major	Workers are aware about their right to complaint and Company policy on Grievance handling (S3 No. 14 of Document 701/322, Rev.5, dated 01-04-2022) gives detail procedure in case of any complaint/grievance. Verified the same through worker interviews. Organisation found defined the complaint procedure whereas no complaint found received so far. Records of the meeting for complaint handling found maintained. Last meeting between worker groups and farm management was held on 07-08-2022, attended by all 12 workers. Minutes of meeting available in English & local language(Tamil). தொழிலாளர்கள் ஓய்வுப் பகுதியிலுள்ள உரிமையாளர் குழுக்களுக்கும் பண்பணம் திறமையாகிற்று இடையிலான கடைபிடி 07-08-2022 அன்று நடைபெற்றது. இது 12 தொழிலாளர்களும் கலந்து கொண்டனர். சந்திப்பின் திறமி, கடைபிடிக்கப்படும் பண்பணம் மற்றும் உரிமையாளர் குழுவின் (குழு) கிடைக்க.
50005135	Rayan Aqua Farm Site 1	4.10.2	Indicator: Percentage of issues raised by workers which are recorded, responded to and monitored by employer. Requirement: 100%.	Compliant	No complaint found raised by the workers. Also verified the same through worker interviews. Employees have access to the complaint box kept in the farm. Verified the same through workers interview. தொழிலாளர்கள் ஓய்வுப் பகுதியில் கடைபிடிக்கப்படும் பண்பணம் ஒதுவகமும் இது சரிபார்க்கப்பட்டது. பண்பணத்தில் கவனப்படுத்தப்பட்டுள்ள புலர் பட்டியலைய அறிவித்தல் அதுவகமும், தொழிலாளர் நேர்காணல் மூலம் அதை உறுதிப்படுத்தியது.
50005136	Rayan Aqua Farm Site 2	4.10.2	Indicator: Percentage of issues raised by workers which are recorded, responded to and monitored by employer. Requirement: 100%.	Compliant	No complaint found raised by the workers. Also verified the same through worker interviews. Employees have access to the complaint box kept in the farm. Verified the same through workers interview. தொழிலாளர்கள் ஓய்வுப் பகுதியில் கடைபிடிக்கப்படும் பண்பணம் ஒதுவகமும் இது சரிபார்க்கப்பட்டது. பண்பணத்தில் கவனப்படுத்தப்பட்டுள்ள புலர் பட்டியலைய அறிவித்தல் அதுவகமும், தொழிலாளர் நேர்காணல் மூலம் அதை உறுதிப்படுத்தியது.
50005135	Rayan Aqua Farm Site 1	4.10.3	Indicator: Clear plan, with process actions and timeframe, is developed to address complaints, and comply with. Requirement: List of complaints, corresponding action plan and timeframe for resolution is available.	Compliant	No complaint found raised by the workers. Also verified the same through worker interviews. There is a Grievance policy, S3 No. 14 of Document 701/322, Rev.5, dated 01-04-2022. The policy & procedure describes how to handle grievances in amicable manner. Employees have access to the complaint/grievance box kept in the farm. Verified the same through workers interview. தொழிலாளர்கள் ஓய்வுப் பகுதியில் கடைபிடிக்கப்படும் பண்பணம் ஒதுவகமும் இது சரிபார்க்கப்பட்டது. 02-08-2022 தேதியிட்ட, தொழிலாளர் நேர்காணல் கைவிட்டு அவர்கள் சிவ் சிவ் 14 பக்க 40, குறுகிய கோள்கள் உரிமையாளர் குழுக்களுக்கும் பண்பணம் திறமையாகிற்று இடையிலான கடைபிடி 07-08-2022 அன்று நடைபெற்றது. இது 12 தொழிலாளர்களும் கலந்து கொண்டனர். சந்திப்பின் திறமி, கடைபிடிக்கப்படும் பண்பணம் மற்றும் உரிமையாளர் குழுவின் (குழு) கிடைக்க.
50005136	Rayan Aqua Farm Site 2	4.10.3	Indicator: Clear plan, with process actions and timeframe, is developed to address complaints, and comply with. Requirement: List of complaints, corresponding action plan and timeframe for resolution is available.	Compliant	No complaint found raised by the workers. Also verified the same through worker interviews. There is a Grievance policy, S3 No. 14 of Document 701/322, Rev.5, dated 01-04-2022. The policy & procedure describes how to handle grievances in amicable manner. Employees have access to the complaint/grievance box kept in the farm. Verified the same through workers interview. தொழிலாளர்கள் ஓய்வுப் பகுதியில் கடைபிடிக்கப்படும் பண்பணம் ஒதுவகமும் இது சரிபார்க்கப்பட்டது. 02-08-2022 தேதியிட்ட, தொழிலாளர் நேர்காணல் கைவிட்டு அவர்கள் சிவ் சிவ் 14 பக்க 40, குறுகிய கோள்கள் உரிமையாளர் குழுக்களுக்கும் பண்பணம் திறமையாகிற்று இடையிலான கடைபிடி 07-08-2022 அன்று நடைபெற்றது. இது 12 தொழிலாளர்களும் கலந்து கொண்டனர். சந்திப்பின் திறமி, கடைபிடிக்கப்படும் பண்பணம் மற்றும் உரிமையாளர் குழுவின் (குழு) கிடைக்க.
50005135	Rayan Aqua Farm Site 1	4.10.4	Indicator: Percentage of complaints that are resolved within three months after being received. Requirement: 100%, according to the timeframe of 4.10.3.	Compliant	No issues found raised by the workers till date. Verified the same through worker interview. இன்று வரை தொழிலாளர்களும் சந்திப்புச் சந்தையையும் இல்லை. பணிபயர்த்து நேர்காணல் மூலம் அதை சரிபார்க்கப்பட்டது.
50005136	Rayan Aqua Farm Site 2	4.10.4	Indicator: Percentage of complaints that are resolved within three months after being received. Requirement: 100%, according to the timeframe of 4.10.3.	Compliant	No issues found raised by the workers till date. Verified the same through worker interview. இன்று வரை தொழிலாளர்களும் சந்திப்புச் சந்தையையும் இல்லை. பணிபயர்த்து நேர்காணல் மூலம் அதை சரிபார்க்கப்பட்டது.
50005135	Rayan Aqua Farm Site 1	4.11.1	Indicator: Using conditions for workers accommodated on the farm are decent and safe. Requirement: All facilities are clean, sanitary, refrigerated, safe and suitable for habitation. Shared quarters need to include provisions that allow for privacy, such as walls, curtains or movable screens. Potable water and cooling facilities or catering facilities are available to all accommodated workers on the farm premises.	Major	There is a worker accommodation provided inside the farm for workers migrated from other places outside the state of Tamilnadu. The living conditions are not satisfactory as 4 Workers not provided with roof/bed sleeping on the floor. No lamp found. Flood in the toilet although farm operates in dark hours. There is no water supply arrangement in the toilet. The working area is in open space without any cover on top and both the plastic taps are broken. A ventilation level is inadequate, only one LED lamp in both rooms. There is no window or any exhaust fan in one corner, leading proper ventilation கடிந்தது மாதிரித்திறை வெளியில் வெகு இடங்களில் இருந்து புலம் பெயர்ந்த தொழிலாளர்களுக்கும் பண்பணத்தைத் தொழிலாளர் தங்குவில் உரிமையாளர் குழுக்களுக்கும் இடையிலான கடைபிடி 07-08-2022 அன்று நடைபெற்றது. இது 5 தொழிலாளர்களும் கலந்து கொண்டனர். சந்திப்பின் திறமி, கடைபிடிக்கப்படும் பண்பணம் மற்றும் உரிமையாளர் குழுவின் (குழு) கிடைக்க.
50005136	Rayan Aqua Farm Site 2	4.11.1	Indicator: Using conditions for workers accommodated on the farm are decent and safe. Requirement: All facilities are clean, sanitary, refrigerated, safe and suitable for habitation. Shared quarters need to include provisions that allow for privacy, such as walls, curtains or movable screens. Potable water and cooling facilities or catering facilities are available to all accommodated workers on the farm premises.	Major	There is a worker accommodation provided inside the farm for workers migrated from other places outside the state of Tamilnadu. The living conditions are not satisfactory as 4 Workers not provided with roof/bed sleeping on the floor. No lamp found. Flood in the toilet although farm operates in dark hours. There is no water supply arrangement in the toilet. The working area is in open space without any cover on top and both the plastic taps are broken. A ventilation level is inadequate, only one LED lamp in both rooms. There is no window or any exhaust fan in one corner, leading proper ventilation கடிந்தது மாதிரித்திறை வெளியில் வெகு இடங்களில் இருந்து புலம் பெயர்ந்த தொழிலாளர்களுக்கும் பண்பணத்தைத் தொழிலாளர் தங்குவில் உரிமையாளர் குழுக்களுக்கும் இடையிலான கடைபிடி 07-08-2022 அன்று நடைபெற்றது. இது 5 தொழிலாளர்களும் கலந்து கொண்டனர். சந்திப்பின் திறமி, கடைபிடிக்கப்படும் பண்பணம் மற்றும் உரிமையாளர் குழுவின் (குழு) கிடைக்க.

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50005130	Rayan Aqua Farm Site 2	7.5.6	Indicator: Water-quality monitoring matrix completed and submitted to ASC (see Appendix X). Requirement: Yes.	Compliant	TPP test report was evident in CMU/22-23/04547 dt on 11/1/2023 from Chemel Metex Lab Private Limited and NABL Accredited Laboratory was evident and verified DDO 15 தரட்டைகளுக்கு அனுப்பி வைத்து டிரைவல் கண்காணப்பட்டு RAR/060 இல் பதிவு செய்யப்படுகிறது. பரிசீலனைக்கான சராசரி மதிப்புகள் 14.82%, மாநில 9.30 மணிக்கு ஆகியவை 6.4 மீ கி/மீ மீது சரிபார்க்கப்பட்டது.
50005131	Rayan Aqua Farm Site 1	7.6.1	Indicator: Energy consumption by sources over a 12-month period. Requirement: Records available for all activities.	Compliant	Electricity and Diesel is used as the Energy for the Aquaculture Farm. /பரிசீலாரம் மற்றும் மசல் பீன் வளர்ப்பு பனிவளைக்கு ஆற்றுவாக பயன்படுத்தப்படுகிறது.
50005136	Rayan Aqua Farm Site 2	7.6.1	Indicator: Energy consumption by sources over a 12-month period. Requirement: Records available for all activities.	Compliant	Electricity and Diesel is used as the Energy for the Aquaculture Farm. /பரிசீலாரம் மற்றும் மசல் பீன் வளர்ப்பு பனிவளைக்கு ஆற்றுவாக பயன்படுத்தப்படுகிறது.
50005135	Rayan Aqua Farm Site 1	7.6.2	Indicator: Annual Cumulative Energy Demand (CED) (megajoules/tun of shrimp produced) over a 12-month period. Requirement: Records available for verification of calculations.	Compliant	Total Annual Cumulative Energy Demand was calculated as - Diesel used is 212 Liters, Energy - 8117.48 MJ Total CED is 0.08 for harvested quantity 105.058 MT. Power - 353273.32 MW; CED - 2.905 Combining both 2.98 MJ 8117.48 MJ மொத்த CED அளவைக் கொண்டிட்டு, 105.058 MTக்கு 0.08 ஆகும். சக்தி - 353273.32 MW; CED - 2.905 இரண்டையும் இணைத்து 2.98 எம்.ஜே
50005136	Rayan Aqua Farm Site 2	7.6.2	Indicator: Annual Cumulative Energy Demand (CED) (megajoules/tun of shrimp produced) over a 12-month period. Requirement: Records available for verification of calculations.	Compliant	Diesel used is 172, Energy - 6585.88MJ; CED is 0.24. Power - 135076.50 MW; CED - 4.873 for harvested quantity 28.007 MT Combining both 5.31 MJ சக்தி - 135076.50 MW; CED - 4.873 அளவைக் கொண்டிட்டு, 28.007 MT இரண்டையும் இணைத்து 5.31 எம்.ஜே
50005135	Rayan Aqua Farm Site 1	7.7.1	Indicator: Safe storage and handling of chemicals and hazardous materials (HS). Requirement: Evidence of procedures in place.	Compliant	The chemical's storage condition of probiotics and chemical was found satisfactory. Pond data sheet recorded in RAR/122 which covers all feed and probiotics used. Training dt on 21/12/2022 for Chemical and waste handling was evident and recorded in RAR/108. புரோபயோடிக்ஸ்கள் மற்றும் இரசாயனத்தின் சேமிப்பு நிலை இருப்பினாலும் காணப்பட்டது. RAR/122 இல் பதிவுசெய்யப்பட்ட குளத்தின் தரவுத் தாள், பயன்படுத்தப்படும் அனைத்து நிலை மற்றும் புரோபயோடிக்ஸ்களை உள்ளடக்கியது. 21/12/2022 அன்று இரசாயன மற்றும் கழிவுகளை கையாளுவதற்கான பயிற்சி எடுத்தனார்கள். RAR/108 இல் பதிவு செய்யப்படுகிறது.
50005136	Rayan Aqua Farm Site 2	7.7.1	Indicator: Safe storage and handling of chemicals and hazardous materials (HS). Requirement: Evidence of procedures in place.	Major	The chemical's storage condition of probiotics and chemical was found satisfactory. Pond data sheet recorded in RAR/122 which covers all feed and probiotics used. Training dt on 16/12/22 for Chemical and waste handling was evident and recorded in RAR/108. Two barns were placed between near the living room and electrical room without any proper secondary containment. Oil spillage was evident.
50005135	Rayan Aqua Farm Site 1	7.7.2	Indicator: Responsible handling and disposal of wastes based on risk assessment and possibilities of recycling. Requirement: Evidence of procedures in place.	Minor	Risk Assessment was conducted and recorded in RAR/096 dt on 2/4/2022. Waste removal register RAR/124 was evident. Agreement between Rayan Aqua Farm and Jeeva Rahum dt on 1/08/2022 and valid till 1/08/2023 was evident. However, the bags were found inside the premises without any proper containment. / இ-சீ மதிப்பீடு 2/4/2022 அன்று RAR/096 க் இல் நடத்தப்பட்டு பதிவு செய்யப்பட்டது. கழிவு அகல்தல் பதிவு RAR/124 க்குள்ளாகத் தெரிந்தது. Rayan Aqua Farm மற்றும் Jeeva Rahum க் இடையேயான ஒப்பந்தம் 1/08/2022 அன்று மற்றும் 1/08/2023 வரை செல்லுபடியாகும். இறுப்பினும், கைகள் வளர்த்துதிருள் சரிவான அகப்படி இல்லாமல் காணப்பட்டன.
50005136	Rayan Aqua Farm Site 2	7.7.2	Indicator: Responsible handling and disposal of wastes based on risk assessment and possibilities of recycling. Requirement: Evidence of procedures in place.	Minor	Risk Assessment was conducted and recorded in RAR/096 dt on 2/4/2022. Waste removal register RAR/124 was evident. Agreement between Rayan Aqua Farm and Jeeva Rahum dt on 1/08/2022 and valid till 1/08/2023 was evident. However, the bags were found inside the premises without any proper containment. / இ-சீ மதிப்பீடு 2/4/2022 அன்று RAR/096 க் இல் நடத்தப்பட்டு பதிவு செய்யப்பட்டது. கழிவு அகல்தல் பதிவு RAR/124 க்குள்ளாகத் தெரிந்தது. Rayan Aqua Farm மற்றும் Jeeva Rahum க் இடையேயான ஒப்பந்தம் 1/08/2022 அன்று மற்றும் 1/08/2023 வரை செல்லுபடியாகும். இறுப்பினும், கைகள் வளர்த்துதிருள் சரிவான அகப்படி இல்லாமல் காணப்பட்டன.

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000001	Report Name: Item 01.0.1	6.0.1	Employee: Internal employee coverage	There is a direct transfer of workers working in the form of internal transfer to the 1st, 2nd, 3rd, 4th, 5th, 6th, 7th, 8th, 9th, 10th, 11th, 12th, 13th, 14th, 15th, 16th, 17th, 18th, 19th, 20th, 21st, 22nd, 23rd, 24th, 25th, 26th, 27th, 28th, 29th, 30th, 31st, 32nd, 33rd, 34th, 35th, 36th, 37th, 38th, 39th, 40th, 41st, 42nd, 43rd, 44th, 45th, 46th, 47th, 48th, 49th, 50th, 51st, 52nd, 53rd, 54th, 55th, 56th, 57th, 58th, 59th, 60th, 61st, 62nd, 63rd, 64th, 65th, 66th, 67th, 68th, 69th, 70th, 71st, 72nd, 73rd, 74th, 75th, 76th, 77th, 78th, 79th, 80th, 81st, 82nd, 83rd, 84th, 85th, 86th, 87th, 88th, 89th, 90th, 91st, 92nd, 93rd, 94th, 95th, 96th, 97th, 98th, 99th, 100th, 101st, 102nd, 103rd, 104th, 105th, 106th, 107th, 108th, 109th, 110th, 111th, 112th, 113th, 114th, 115th, 116th, 117th, 118th, 119th, 120th, 121st, 122nd, 123rd, 124th, 125th, 126th, 127th, 128th, 129th, 130th, 131st, 132nd, 133rd, 134th, 135th, 136th, 137th, 138th, 139th, 140th, 141st, 142nd, 143rd, 144th, 145th, 146th, 147th, 148th, 149th, 150th, 151st, 152nd, 153rd, 154th, 155th, 156th, 157th, 158th, 159th, 160th, 161st, 162nd, 163rd, 164th, 165th, 166th, 167th, 168th, 169th, 170th, 171st, 172nd, 173rd, 174th, 175th, 176th, 177th, 178th, 179th, 180th, 181st, 182nd, 183rd, 184th, 185th, 186th, 187th, 188th, 189th, 190th, 191st, 192nd, 193rd, 194th, 195th, 196th, 197th, 198th, 199th, 200th, 201st, 202nd, 203rd, 204th, 205th, 206th, 207th, 208th, 209th, 210th, 211st, 212nd, 213th, 214th, 215th, 216th, 217th, 218th, 219th, 220th, 221st, 222nd, 223rd, 224th, 225th, 226th, 227th, 228th, 229th, 230th, 231st, 232nd, 233rd, 234th, 235th, 236th, 237th, 238th, 239th, 240th, 241st, 242nd, 243rd, 244th, 245th, 246th, 247th, 248th, 249th, 250th, 251st, 252nd, 253rd, 254th, 255th, 256th, 257th, 258th, 259th, 260th, 261st, 262nd, 263rd, 264th, 265th, 266th, 267th, 268th, 269th, 270th, 271st, 272nd, 273rd, 274th, 275th, 276th, 277th, 278th, 279th, 280th, 281st, 282nd, 283rd, 284th, 285th, 286th, 287th, 288th, 289th, 290th, 291st, 292nd, 293rd, 294th, 295th, 296th, 297th, 298th, 299th, 300th, 301st, 302nd, 303rd, 304th, 305th, 306th, 307th, 308th, 309th, 310th, 311st, 312nd, 313th, 314th, 315th, 316th, 317th, 318th, 319th, 320th, 321st, 322nd, 323rd, 324th, 325th, 326th, 327th, 328th, 329th, 330th, 331st, 332nd, 333rd, 334th, 335th, 336th, 337th, 338th, 339th, 340th, 341st, 342nd, 343rd, 344th, 345th, 346th, 347th, 348th, 349th, 350th, 351st, 352nd, 353rd, 354th, 355th, 356th, 357th, 358th, 359th, 360th, 361st, 362nd, 363rd, 364th, 365th, 366th, 367th, 368th, 369th, 370th, 371st, 372nd, 373rd, 374th, 375th, 376th, 377th, 378th, 379th, 380th, 381st, 382nd, 383rd, 384th, 385th, 386th, 387th, 388th, 389th, 390th, 391st, 392nd, 393rd, 394th, 395th, 396th, 397th, 398th, 399th, 400th, 401st, 402nd, 403rd, 404th, 405th, 406th, 407th, 408th, 409th, 410th, 411st, 412nd, 413th, 414th, 415th, 416th, 417th, 418th, 419th, 420th, 421st, 422nd, 423rd, 424th, 425th, 426th, 427th, 428th, 429th, 430th, 431st, 432nd, 433rd, 434th, 435th, 436th, 437th, 438th, 439th, 440th, 441st, 442nd, 443rd, 444th, 445th, 446th, 447th, 448th, 449th, 450th, 451st, 452nd, 453rd, 454th, 455th, 456th, 457th, 458th, 459th, 460th, 461st, 462nd, 463rd, 464th, 465th, 466th, 467th, 468th, 469th, 470th, 471st, 472nd, 473rd, 474th, 475th, 476th, 477th, 478th, 479th, 480th, 481st, 482nd, 483rd, 484th, 485th, 486th, 487th, 488th, 489th, 490th, 491st, 492nd, 493rd, 494th, 495th, 496th, 497th, 498th, 499th, 500th, 501st, 502nd, 503rd, 504th, 505th, 506th, 507th, 508th, 509th, 510th, 511st, 512nd, 513th, 514th, 515th, 516th, 517th, 518th, 519th, 520th, 521st, 522nd, 523rd, 524th, 525th, 526th, 527th, 528th, 529th, 530th, 531st, 532nd, 533rd, 534th, 535th, 536th, 537th, 538th, 539th, 540th, 541st, 542nd, 543rd, 544th, 545th, 546th, 547th, 548th, 549th, 550th, 551st, 552nd, 553rd, 554th, 555th, 556th, 557th, 558th, 559th, 560th, 561st, 562nd, 563rd, 564th, 565th, 566th, 567th, 568th, 569th, 570th, 571st, 572nd, 573rd, 574th, 575th, 576th, 577th, 578th, 579th, 580th, 581st, 582nd, 583rd, 584th, 585th, 586th, 587th, 588th, 589th, 590th, 591st, 592nd, 593rd, 594th, 595th, 596th, 597th, 598th, 599th, 600th, 601st, 602nd, 603rd, 604th, 605th, 606th, 607th, 608th, 609th, 610th, 611st, 612nd, 613th, 614th, 615th, 616th, 617th, 618th, 619th, 620th, 621st, 622nd, 623rd, 624th, 625th, 626th, 627th, 628th, 629th, 630th, 631st, 632nd, 633rd, 634th, 635th, 636th, 637th, 638th, 639th, 640th, 641st, 642nd, 643rd, 644th, 645th, 646th, 647th, 648th, 649th, 650th, 651st, 652nd, 653rd, 654th, 655th, 656th, 657th, 658th, 659th, 660th, 661st, 662nd, 663rd, 664th, 665th, 666th, 667th, 668th, 669th, 670th, 671st, 672nd, 673rd, 674th, 675th, 676th, 677th, 678th, 679th, 680th, 681st, 682nd, 683rd, 684th, 685th, 686th, 687th, 688th, 689th,
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Document Name: AIC Audit Report
Version: 2.2
Issue Date: 31 May 2022
Effective Date: 30 Sep 2022

Document Name: ABC Audit Report
Version: 1.2
Issue Date: 15 May 2022
Effective Date: 30 Sep 2022

Document Name: AISC Audit Report
Version: 2.2
Issue Date: 31 May 2022
Effective Date: 30 Jun 2022

Document Name: ABC Audit Report
Version: 1.2
Issue Date: 15 May 2022
Effective Date: 30 Sep 2022

Please pre-populate Columns A-O in the table below with the non-conformities detected in the previous audit.

Please verify/evaluate the closure on-conformities from the previous audit and indicate the outcome in Columns P & Q.

Add rows to the tables as needed.

[illegible]

Traceability Assessment

6. ASC CAR 17.6.1-2 Substitution risk assessment

Please note that auditor training on farm traceability is also covered in the MSC farm traceability module.
If traceability systems are insufficient, the UoC shall implement the requirements of the CoC standards, and shall address any NCs in the CoC audit.

1. Possibility of mixing or substitution of certified and non-certified product, including product of the same or similar appearance species, produced within the same operation.

a) Are any sites within the UoC permitted to produce both certified and non-certified product?	No		
b) Are there similar appearance species produced in the UoC?	No		
Give details of similar appearance species:			
NA			
Production units or batches excluded from the certification scope:			
None all are in scope			
c) Average % of non-certified product produced by the UoC per calendar year?			
d) Traceability and segregation systems	Yes/No	Description of system	Evidence reviewed
Is there physical identification to distinguish non-certified product?	Yes	SOP was evident.	SOP/35 page 115
Are there segregation systems in place?	Yes	SOP was evident.	SOP/35 page 115
Is there traceability records identification in place?	Yes	SOP for traceability is SOP/35 page 115 was evident and verified.	Was evident and verified.
Description of other traceability systems in place:			
SOP for traceability is SOP/35 page 115 was evident and verified.			
Do the traceability systems mitigate the mixing and substitution risks?	Yes		
Rationale for decision:			
SOP for traceability is SOP/35 page 115 was evident and verified.			

2. Possibility of mixing or substitution of certified and non-certified product, including product of the same or similar appearance or species, present during production, harvest, transport, storage, or processing activities.

a) Are there non-ASC certified farms of the same or similar species in close proximity to the UoC?	No		
Description of neighbouring farms:			
No neighbouring farms is seen. Farm is stand alone.			
b) Are the non-ASC Neighbouring farms owned or related to the same UoC?	No		
If yes, Name of farms in cases where they are related to the client:			
NA			
c) Are non-ASC products from other farms handled in the UoC.	No		
Stage(s) when the non-ASC products are handled in the UoC			
Note: If non-ASC products or products from other farms are handled in the UoC a CoC certification is needed.			
NA			
d) Segregation systems	Yes/No	Description of system	Evidence reviewed
Physical barriers	N/A	N/A	N/A
Physical identification	Yes	Easily identifiable	Site 1 RAF 1 and for site 2 RAF 2 was identified
Segregation systems for non-certified product	Yes	SOP Verified	SOP Verified

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Traceability records identification	Yes	SOP for traceability is SOP/35 page 115 was evident and verified.	RA/122 doc verified
Describe other systems:			
NA			
Do the traceability systems mitigate the mixing and substitution risks?			Yes
Rationale for decision:			
SOP for traceability is SOP/35 page 115 was evident and verified. No substitution is seen.			

3. Possibility of subcontractors being used to handle, transport, store, or process certified products.

	Yes/No	Description of system	Evidence reviewed
a) Does the company use subcontracted services for harvesting, processing, packing or labelling?	Yes	Only at the time of harvest the sub contractor are used.	Letter dt on 1/6/2022 and valid for one year.
b) Does the company use subcontracted service providers for storage or transportation	No	NA	NA
c) Are there traceability and segregation systems in place?	Yes	SOP Verified	SOP Verified
d) Are subcontractors CoC certified?	No	NA	NA
i. Are there contract and/or agreements in place including traceability conditions?	Yes	SOP for traceability is SOP/35 page 115	SOP for traceability is SOP/35 page 115
ii. Traceability records identification	Yes	SOP was evident	SOP was evident
Others systems:			
SOP for traceability is SOP/35 page 115			
Do the traceability systems mitigate the mixing and substitution risks?			Yes
Rationale for decision:			
SOP for traceability is SOP/35 page 115			

4. Any other opportunities where certified product could potentially be mixed, substituted, or mislabelled with non-certified product before the point where product enters the chain of custody.

Risk	Type of Risk Identified	Description	
a)	Risk Assessment identified	RAF/096 was evident.	
b)	Risk Assessment identified	RAF/096 was evident.	
c)	Risk Assessment identified	RAF/096 was evident.	
		Description of system	Evidence reviewed
d) Are there traceability and segregation systems available for the risks above?	Yes	RAF/096 was evident.	RAF/096 was evident.
Do the traceability systems mitigate the mixing and substitution risks?			Yes
Rationale for decision:			
SOP for traceability is SOP/35 page 115			

ASC CAR 17.6.10.1 Point of First sale / handling

Entity name	CoC code
EDHAYAM FROZEN FOODS PVT. LTD. - 4/91 A & B MARAVAN MADAM VILLAGE, PADUKOTTAI, TITOCORIN, INDIA	Reg no. ASC - C - 03645; Issue date - 18th May 2022 and valid till 17th May 2025

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Effective Date: 30 Sep 2022

ASC CAR 17.6.10.2 The point from which chain of custody is required to begin

Please select the point from which the Chain of Custody (CoC) is required to begin from the picklist below:

CoC starts at receipt to processor or other first CoC holder beyond the UoC.

Please describe in more detail the point from which the Chain of Custody (CoC) is required to begin:

From the CoC holder i.e., Edhayam Frozen Foods Pvt. Ltd.

Example: CoC starts within the UoC. The second free-text answer would specify the starting point within the UoC, e.g. CoC starts at point of harvest due to risks of mixing present from harvest onwards.

Example: CoC starts at loading for transport away from farm. The farm certificate ends at the point when product is loaded into the truck at farm gate and the truck is sealed. The scope of the first CoC certificate in the chain must cover transport after loading onwards, e.g as a subcontractor.

Example: CoC starts at receipt to processor. The processor holds the first CoC certificate in the chain. All prior activities including transport are covered by the farm (UoC) certificate (e.g. as a subcontractor to the farm) or by separate CoC certification.

Traceability Test

ASC CAR 17.6.3-5 Product flow, traceability and segregation

Please describe the product flow within the UoC.

Detail the UoC's product flow from outputs to inputs detailing each of the various production steps in the process. This could include: packing, processing, cleaning and sorting, transportation, harvest, grow-out, nursery, stocking, etc.

If certified and non-certified product is produced at site level, explain the segregation system in place to avoid mixing of certified and non-certified products.

All UoC in Site I and Site II are certified. Product Flow - Pond preparation → procuring PL's from Approved Hatcheries → Stocking of PL 10 - 13 → Water, Feed management → PL monitoring → Feeding → Biomass verification → Health of PL and water monitoring → Cultured for three - four Months → Sample PL sent for External lab for testing → Partial or full harvest → Sent to Edhayam Froxen Foods Limited.

Please describe receiving the seeding or post larvae from the hatchery, releasing into the outgrowing pond, stock husbandry, harvesting, fallow for the next crop.

Product Flow - Pond preparation → procuring PL's from Approved Hatcheries → Stocking of PL 10 - 13 → Water, Feed management → PL monitoring → Feeding → Biomass verification → Health of PL and water monitoring → Cultured for three - four Months → Sample PL sent for External lab for testing → Partial or full harvest → Sent to Edhayam Froxen Foods Limited.

Conduct a traceability test of harvested products.

In Cases where sites within the UoC are permitted to produce both certified and non-certified product perform a traceability test for ASC and non-ASC products.

Traceability Test 1					
Product Identification Code		POND A3 / TN -II - 2013 (1674/001)		Species	LITOPENAEUS VANNAMEI
	Document Name /Code	Document Date	Document Description	Production Step in the Process	Explanation (Description of how codes or documents link product at each stage)
A	AQUACULTURE FARM HARVEST REPORT	26-Feb-23	EFF/HRP/01	FARM	Harvest report for each pond was evident.
B	DECLARATION FORM	26-Feb-23	Declaration form	FARM	Declaration from the farmer stating the shrimp harvested materials are free from antibiotics, heavy metals, pesticides and sulphites.
C	VEHICLE UN LOADING CHECKLIST	26-Feb-23	REC/QC/13	PROCESSING UNIT	Vehicle unloading checklist details
D	RM INWARD INSPECTION REPORT	26-Feb-23	REC/QC/01A	PROCESSING UNIT	RM Inspection Report
E	CHILL ROOM TEMPERATURE RECORD	26-Feb-23	REC/QC/18	PROCESSING UNIT	Chill Room Temp. record
F	PRE PROCESS REGISTER	26-Feb-23	TN -II - 2013 (1674/001)	PROCESSING UNIT	Pre Process Register
G	PROCESS REGISTER	26-Feb-23	REC/QC/16	PROCESSING UNIT	Processing Register
H	FREEZING RECORD	3B26	REC/QC/19	PROCESSING UNIT	Freezing record
I	METAL DETECTOR RECORD	26-Feb-23	REC/PRDN/MD/01	PROCESSING UNIT	Metal Detector record
J	PACKING / LABELING RECORD	26-Feb-23	EPF/QC/26	PROCESSING UNIT	Packing and labelign record
K	Harvest Date- 26.02.2023 Pond No.- A3 Pond Area- 0.5 ha (5000 Sq meter) Harvest Quantity- 4880 Kg Count- 40 Average body weight- 25 g (0.025 Kg) Seed stock quantity- 250000 Stocking Date- 06.11.2022 Seed Survival Number- 195200 Survival Rate- 78.08% Stocking density- 50 /sq. m DOC- 112 Feed Quantity- 6344 Kg FCR- 1.30 Vehicle No. - TN 69 BQ 1099 Seal No. 14903 Harvest type - Full harvest by Drag net Feed used - univana - Unipresident Feed Fed lot no - 1804; Start time - 7:30 am and End time - 10:45 am	26-Feb-23	Pond data book	Culture book data	Farm culture book record
L					

Traceability test(s) successfully conducted

Yes

Traceability information allows to link each stage of handling certified products

Yes

If the test was unsuccessful please describe why.

N/A

Traceability Test 2					
Product Identification Code				Species	
	Document Name /Code	Document Date	Document Description	Production Step in the Process	Explanation (Description of how codes or documents link product at each stage)
A					
B					
C					
D					

Traceability test(s) successfully conducted?

Yes

Traceability information allows to link each stage of handling certified products

Yes

If the test was unsuccessful please describe why.

NA

B-EIA & p-SIA checklist

Checklist and guideline for auditors on a complete B-EIA & p-SIA process and report.

B-EIA and p-SIA are not compulsory in all ASC species standards.

Please find all requirements for B-EIA and p-SIA in the applicable ASC standards.

Biodiversity-Inclusive Environmental Impact Assessment		
B-EIA assessment team	Validated by auditor? (Y/N)	Notes
The B-EIA shall be carried out by a nationally accredited body. Where no accredited body exists, farms must ensure that the B-EIA team consists of competent and qualified environmental scientists, biologists and ecologists with a minimum of a Master of Science degree from a university.	Yes	Since no accreditation in India. Manual was prepared by Expertise people. Hence accepted. Team was competent and qualified people.
B-EIA checklist	Validated by auditor? (Y/N)	Notes
1. Quality of the B-EIA process (e.g., was it participatory and transparent?); B-EIA carried out by a valid expert in accordance with requirements lined out in the ASC standards.	Yes	Yes, it was evident and verified.
(b) The B-EIA was publicly (locally) communicated with sufficient time for interested parties to participate and/or get informed.	Yes	Yes, it was evident and verified.
(c) Stakeholders are listed and impact descriptions are documented and in preparation of the final B-EIA report, meetings with the listed stakeholders (or by stakeholders chosen representatives) have taken place.	Yes	Yes, it was evident and verified.
(d) These meetings have been recorded and the minutes are attached to the final report; names and contact details of participating stakeholders included.	Yes	Yes, it was evident and verified.
(e) Evidence is provided that draft and final B-EIA reports have been submitted to local government representatives and, if requested by stakeholders, a legally registered civil organization chosen by these stakeholders.	Yes	Yes, it was evident and verified.
(f) Evidence is provided that the final B-EIA reports have been submitted and reviewed by a specialist with appropriate expertise on biodiversity issues.	Yes	Yes, it was evident and verified.
(g) B-EIA completed according to guidance on B-EIA and pSIA relationship (transparency and consultation).	Yes	Yes, it was evident and verified.
2. Risk analysis: actual (past and present) impacts of the current farms, or potential impacts of the intended farm or expansion of existing farm and at least two alternatives (one of these is the "no farm or no expansion" scenario). Concepts to cover include:	Yes	Yes, it was evident and verified.
(a) The type of farming, possible alternatives and a summary of activities likely to affect biodiversity.	Yes	Yes, it was evident and verified.
(b) An analysis of opportunities and constraints for biodiversity (include "no net biodiversity loss" or "biodiversity restoration" alternatives).	Yes	Yes, it was evident and verified.
(c) Expected biophysical changes (in soil, water, air, flora and fauna) resulting from proposed or existing activities or induced by any socioeconomic changes.	Yes	Yes, it was evident and verified.
(d) Spatial and temporal scale of influence, identifying effects on connectivity between ecosystems, and potential cumulative effects.	Yes	Yes, it was evident and verified.
(e) Available information on baseline conditions and any anticipated trends in biodiversity in the absence of the proposal.	Yes	Yes, it was evident and verified.
(f) Likely biodiversity impacts associated with the proposal or current operations in terms of composition, structure and function of surrounding ecosystems.	Yes	Yes, it was evident and verified.
(g) Biodiversity services and values identified in consultation with stakeholders and anticipated magnitude, direction and timeline of changes in these (highlight any irreversible impacts).	Yes	Yes, it was evident and verified.
(h) Possible measures to avoid, minimize or compensate for significant biodiversity damage or loss, making reference to any legal requirements. Information required to support decision making and summary of important gaps.	Yes	Yes, it was evident and verified.
(i) Proposed IA methodology and timescale.	Yes	Yes, it was evident and verified.
3. Impact statement is available and contains all of the requirements listed above along with a clear indication of authors and affiliations.	Yes	Yes, it was evident and verified.
4. Review process, reviewers (decision makers), and decisions clearly documented.	Yes	Yes, it was evident and verified.
5. Clear understanding as to how options for mitigation and offsetting were determined and how avoidance actions were prioritized over compensation.	Yes	Yes, it was evident and verified.
6. Names, affiliations and experience of the reviewing specialist are documented and clear understanding of how affected groups were involved and how balanced consideration was given to conservation vs. development goals in the peer review.	Yes	Yes, it was evident and verified.
7. Clear articulation of a biodiversity management system including targets and monitoring strategies for mitigation.	Yes	Yes, it was evident and verified.

Participatory Social Impact Assessment		
p-SIA checklist	Validated by auditor? (Y/N)	Notes
1. Quality of the p-SIA process (e.g., is it participatory and transparent)?	Yes	Prepared by qualified personnel having prior experience in aquaculture
(a) The intent to conduct a p-SIA is locally publicly communicated with sufficient time for interested parties to participate and/or get informed.	Yes	Copy circulated to local stakeholders
(b) In listing stakeholders, in making impact descriptions, and in preparation of a final p-SIA report document meetings with the listed stakeholders (or by stakeholders chosen representatives) have taken place.	Yes	Yes
(c) These meetings have been minuted and these records are attached to the final report; names and contact details of participating stakeholders are included.	Yes	Minutes available
(d) Evidence is provided that draft and final p-SIA reports have been submitted to a local government representative and, if stakeholders so desire, to a (by stakeholders chosen) legally registered civil organization.	Yes	Yes, it was evident and verified.
(e) B-EIA done and completed according to guidance in the ASC standards (appropriate accreditation and consultation).	Yes	Yes, it was evident and verified.
2. The risks and actual (past and present) impacts of the current or intended farm and at least two alternatives (one of these is the "no farm or no expansion" scenario). Concepts to cover include:	Yes	Yes, it was evident and verified.
(a) Economic aspects (influence on employment opportunities, influence on other livelihoods in community).	Yes	Yes, it was evident and verified.
(b) Natural resource access and use (land and water tenure, influence on quality and availability of natural resources including water).	Yes	Yes, it was evident and verified.
(c) Human assets (food security, health and safety, education, indigenous knowledge).	Yes	Yes, it was evident and verified.
(d) Physical infrastructure (access to roads, electricity, telephone, housing, waste disposal systems).	Yes	Yes, it was evident and verified.
(e) Social and cultural aspects (indigenous/traditional/customary rights and beliefs, social exclusion/inclusion, gender equity, changes in age composition of the).	Yes	Yes, it was evident and verified.
(f) Governance aspects (influence of aquaculture on norms, taboos, regulations, laws, conflict management and whether these changes add up to more or less transparency, accountability and participation in decision making).	Yes	Yes, it was evident and verified.
3. Research and report probable impacts that are likely to be most important. In doing this, it is important to arrange meetings with stakeholders to let them prioritize and to let them express how they	Yes	Yes, it was evident and verified.
4. Do deeper investigations into priority impacts with a focus on the question: "What changes will lead to if they indeed come about?" These include:	Yes	Yes, it was evident and verified.
(a) Physical effects to man-made and natural structures and processes.	Yes	Yes, it was evident and verified.
(b) Likely adaptations and the social and economic effects of making such adaptations.	Yes	Yes, it was evident and verified.
(c) How these effects and indirect effects would compare to having no intervention.	Yes	Yes, it was evident and verified.
(d) How effects may or might be cumulative.	Yes	Yes, it was evident and verified.
5. Make recommendations to maximize the positive and minimize the negative, with consideration to compensation options for those lands and people impacted. Also include recommendations on how to avoid these issues with the intended farm or farm development.	Yes	Yes, it was evident and verified.
6. Propose a mitigation plan assuming the farm development will take place or continue (in an adapted form if that seems appropriate); include a "closure and	Yes	Yes, it was evident and verified.
7. Develop and approve with all stakeholders a monitoring plan and indicators on both positive and negative risks and impacts (make use of FDG and/or PRA methodologies in this step).	Yes	Yes, it was evident and verified.
8. A summary with recommendations and conclusions is made available to all involved in the process and, through local public notices, made accessible to all members of the local community.	Yes	Yes, it was evident and verified.